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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Application No. : 10/743,394  
Applicants: Chiang et al.,  
Filing Date: 12/22/2003  
Title: EVAPORATOR FOR MEDIUM  
TEMPERATURE REFRIGERATED  
MERCHANTISER  
Art Unit: 3744  
Examiner: Ali, M.  
Docket No. : 10133A

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

In accordance with 37 C.F.R. § 1.8, the undersigned hereby certifies that the following correspondence:

- (i) Fee Transmittal; and
- (ii) Information Disclosure Statement

is being mailed with the United States Postal Service via first class mail to the USPTO at the above address on this date of March 31, 2005.

**THIS IS A DUPLICATE COPY WHICH HAS ALREADY BEEN SUBMITTED  
BY FACSIMILE ON MARCH 31, 2005.**

Respectfully submitted,

Elizabeth P. Trzcinski

Carrier Corporation  
One Carrier Place  
Farmington, CT 06034



**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**INFORMATION  
DISCLOSURE STATEMENT  
BY APPLICANT**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application. No.	10/743,394
	Filing Date	12/22/2003
	Inventor(s)	Chiang et al.
	Art Unit	3744
	Examiner Name	Ali, M.
Sheet 1 of 1	Docket No.	10133A

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Alexandria, VA 22313-1450

**US PATENT DOCUMENTS**

Examiner Initials	Document Number Number-Kind Code	Publication date MM/YYYY	Name of Patentee of Applicant
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	2,991,048	7/1961	Rabin

Examiner Signature		Date Considered	
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**PATENT**

	<b>3,012,760</b>	<b>12/1961</b>	<b>McGrath</b>
	<b>3,267,692</b>	<b>8/1966</b>	<b>Pfeiffer et al.</b>
	<b>3,745,786</b>	<b>7/1973</b>	<b>Laughlin et al.</b>
	<b>3,834,176</b>	<b>9/1974</b>	<b>Clarke</b>
	<b>4,545,428</b>	<b>10/1985</b>	<b>Onishi et al.</b>
	<b>4,658,602</b>	<b>4/1987</b>	<b>Giberson et al.</b>
	<b>4,776,178</b>	<b>10/1988</b>	<b>Buchser</b>

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Foreign Patent Document Country Code-Number-Kind Code	Publication date MM/YYYY	Name of Patentee of Applicant
	<b>EP 1316119 A*</b>	<b>10/1969</b>	
	<b>JP 07189684 A</b>	<b>7/1995</b>	
	<b>JP 10-148441</b>	<b>6/1998</b>	
	<b>JP 08-303933</b>	<b>11/1996</b>	

\* Submitted Via Mail

Examiner Signature		Date Considered	
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PATENT

IN THE UNITED STATES  
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Application No. : 10/743,394  
Applicants: Chiang et al.  
Filing Date: 12/22/2003  
Title: EVAPORATOR FOR MEDIUM TEMPERATURE  
REFRIGERATED MERCHANDISER  
Art Unit: 3744  
Examiner: Ali, M.  
Docket No. : 10133A

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants hereby file an Information Disclosure Statement in accordance with 37 C.F.R. § 1.97 in the above-referenced application for consideration before the US PTO. By the filing of this Information Disclosure Statement, Applicants are not representing that a search has been made in accordance with 37 C.F.R. § 1.97(g). The information cited herein shall not be construed to be an admission that the information is, or is considered to be, material to patentability as defined in§ 1.56. 37 C.F.R. § 1.97(h).

37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed by the Applicants:

Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

Within three months of the date of entry of the national stage as set forth in§ 1.491 in an international application;

Before the mailing of a first Office action on the merits; or

Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed by the Applicants after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of a final action under § 1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one a statement specified 37 C.F.R. § 1.97(e) or the fee set forth in § 1.17(p).

\_\_\_\_ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

\_\_\_\_ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

X The \$180.00 fee set forth in §1.17(p).

\_\_\_\_ 37 C.F.R. § 1.97(d). This Information Disclosure Statement is being filed by the Applicants after the period specified in 37 C.F.R. § 1.97(c), and on or before payment of the issue fee, and is accompanied by the statement specified 37 C.F.R. § 1.97(e) and the fee set forth in §1.17(p).

\_\_\_\_ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

\_\_\_\_ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

The \$180.00 fee set forth in § 1.17(p) is enclosed herewith.

Any questions pertaining to the submission of this Information Disclosure Statement or the prosecution of this application should be directed to the undersigned at (860) 674-3457.

Respectfully submitted,

By: Christine M. Szwerc  
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Date: March 31, 2005

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PATENT

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

<b>FEE TRANSMITTAL FOR FY 2005</b>	Application. No.	<b>10/743,394</b>
	Filing Date	<b>12/22/2003</b>
	Inventor(s)	<b>Chiang et al.</b>
	Art Unit	<b>3744</b>
	Examiner Name	<b>Ali, M.</b>
<b>TOTAL PAYMENT \$180.00</b>	Docket No.	<b>10133A</b>

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**METHOD OF PAYMENT**

The Director is authorized to:

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**DEPOSIT ACCOUNT: 03-0835  
DEPOSIT ACCOUNT NAME: Carrier Corporation.**

**FEE CALCULATION**

**1. \$180.00**

**TOTAL PAYMENT \$180.00**

**SUBMITTED BY:**

*Christine M. Szwerc*

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Date: March 31, 2005

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